# Federal Programs Monitoring Risk Factor consideration worksheet

LEA Name and #:

|  |  |
| --- | --- |
|  | Program Compliance |
| **Criteria** |  | **Scoring** |  |  | **Total Points**  |
| Last Year Monitored | 6 years = 0 points | 4-5 years = 1 point | 1-3 years = 2 points | 7+ years = 3 points |  |
| # of findings last review  | 20 or > = 9 points | 16-19 = 7 points | 10-15 = 3 points | 6-9 = 3 points | 1-5 = 1 point | No findings = 0 points |  |  |
| Errors or late filing reports (CSFGA, APR, Action Plans etc.)  | Yes = 2 points | No = 0 points |  |  |  |
| Change in Status (losing /adding Title Programs) | Yes = 2 points | No = 0 points |  |  |  |
|  |  |  |  | **SUBTOTAL** |  |
|  | Fiscal Indicators |
| **Criteria** |  | **Scoring** |  |  | **Total Points**  |
| Audit Findings (Finance) | Yes = 2 points | No = 0 points |  |  |  |
| Excessive Carryover | Yes = 2 points | No = 0 points |  |  |  |
| Frequency of Drawdowns | < than quarterly=4 points | Quarterly = 2 points | Monthly = 0 points |  |  |
| Fiscal findings-last review | > than 4 = 5 points | 3 – 4 = 3 points | 1 – 2 = 1 point | No findings = 0 |  |
|  |  |  |  | **SUBTOTAL** |  |
|  | **Additional Considerations** |
| Personnel (turn over of FPD, Supt. Business Manager, etc.) within last two years | 3+ = 4 points | 1-2 = 2 points | 0 = 0 points |  |  |
| New Charter LEA receiving second year of Title funds | **Automatically Required Monitoring**  | **Automatically Required Monitoring** | **Automatically Required Monitoring** |  |  |
|  |  |  |  | **SUBTOTAL** |  |
|  |  |  |  | **TOTAL** |  |

# Scoring Rubric:

Desk monitoring review: Total Points 1-11

On-site monitoring review: Total Points 12+

Automatic on-site for Charters in their second year receiving funds.

Re-visits determined by prior year’s monitoring findings for additional technical assistance and may be desk or on-site depending on level of technical support needed.